

Type-Preserving CPS Translation of Σ and Π Types is Not Possible

William J. Bowman, Nick Rioux,
Youyou Cong, Amal Ahmed





Type-Preserving CPS Translation of Σ and Π Types is Not Possible

William J. Bowman, Nick Rioux,
Youyou Cong, Amal Ahmed



Dependent types

What are they good for?

Dependent types

What are they good for?

Well.

Dependent types

What are they good for?

Well.

Everything, apparently

Verified in Coq!

- CompCert
- CertiKOS
- Vellvm
- RustBelt
- CertiCrypt

...

Story of a verified program

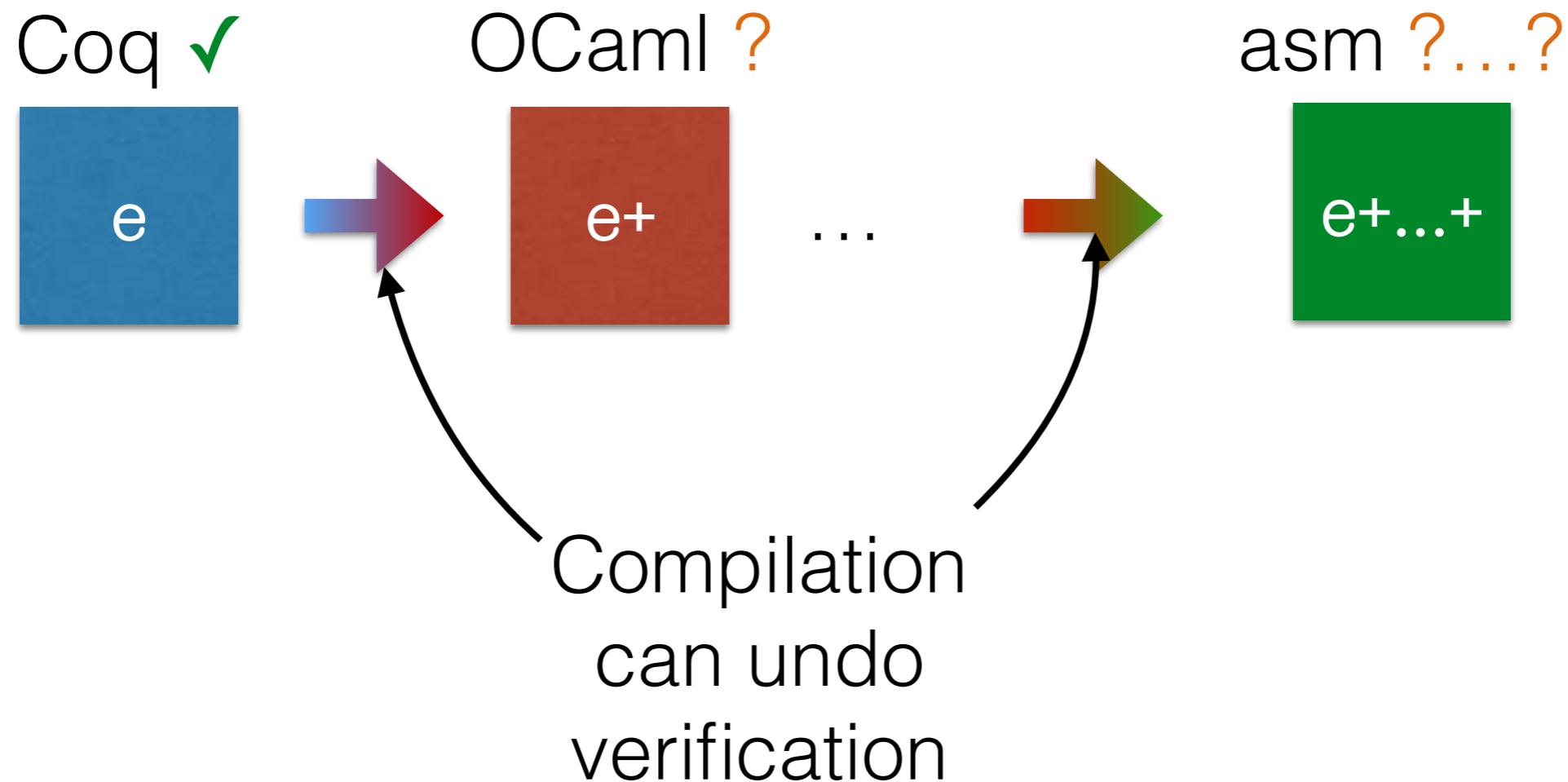
Coq



Story of a verified program



Story of a verified program





Compiler correctness!

A correct compilation story



Verify that the program we run is the program we verified

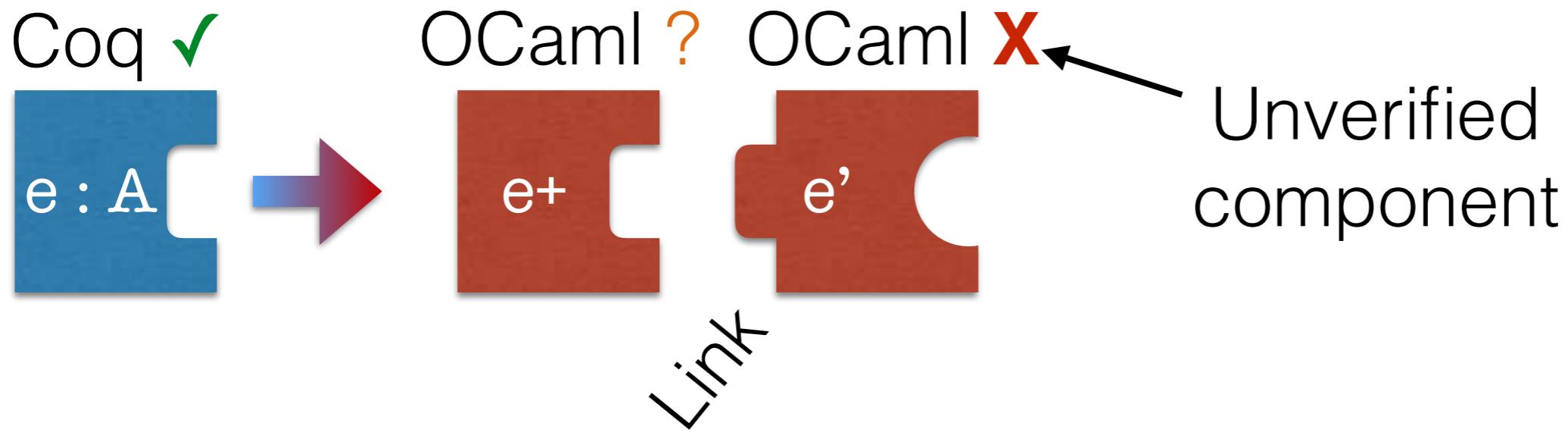
Compiler correctness
is not the whole story

Correctness is the
“whole program” story

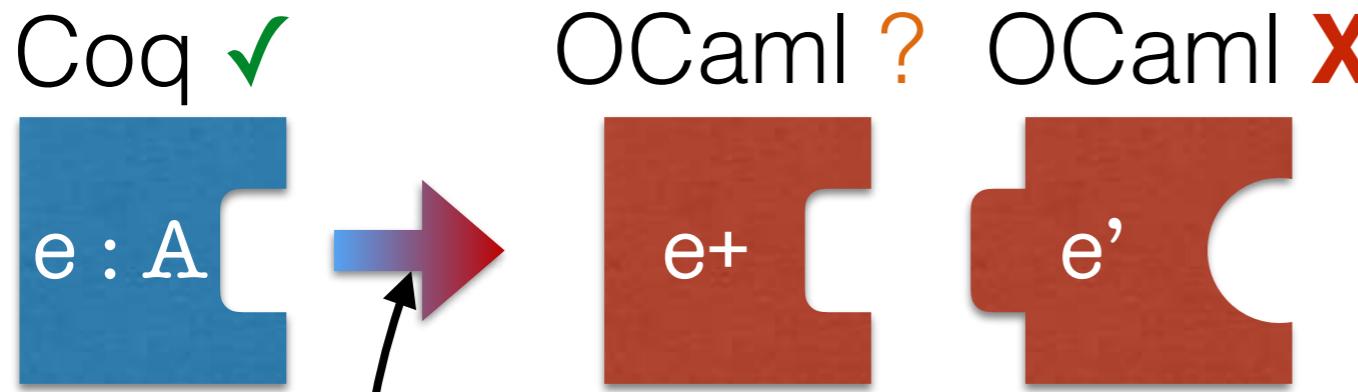
Why type preservation?

Because we do not write whole programs.

Story of a verified component



Story of a verified component

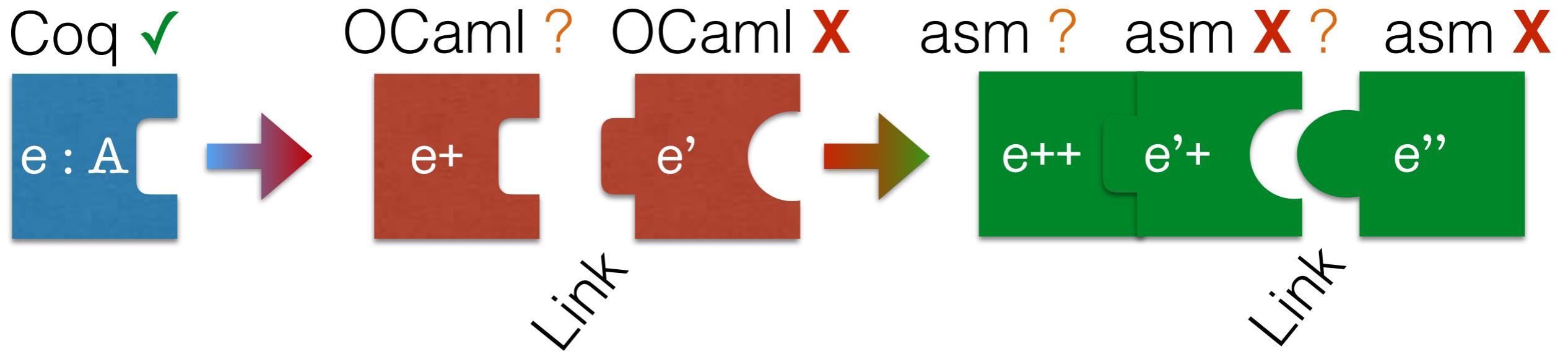


Compilation
can undo
verification

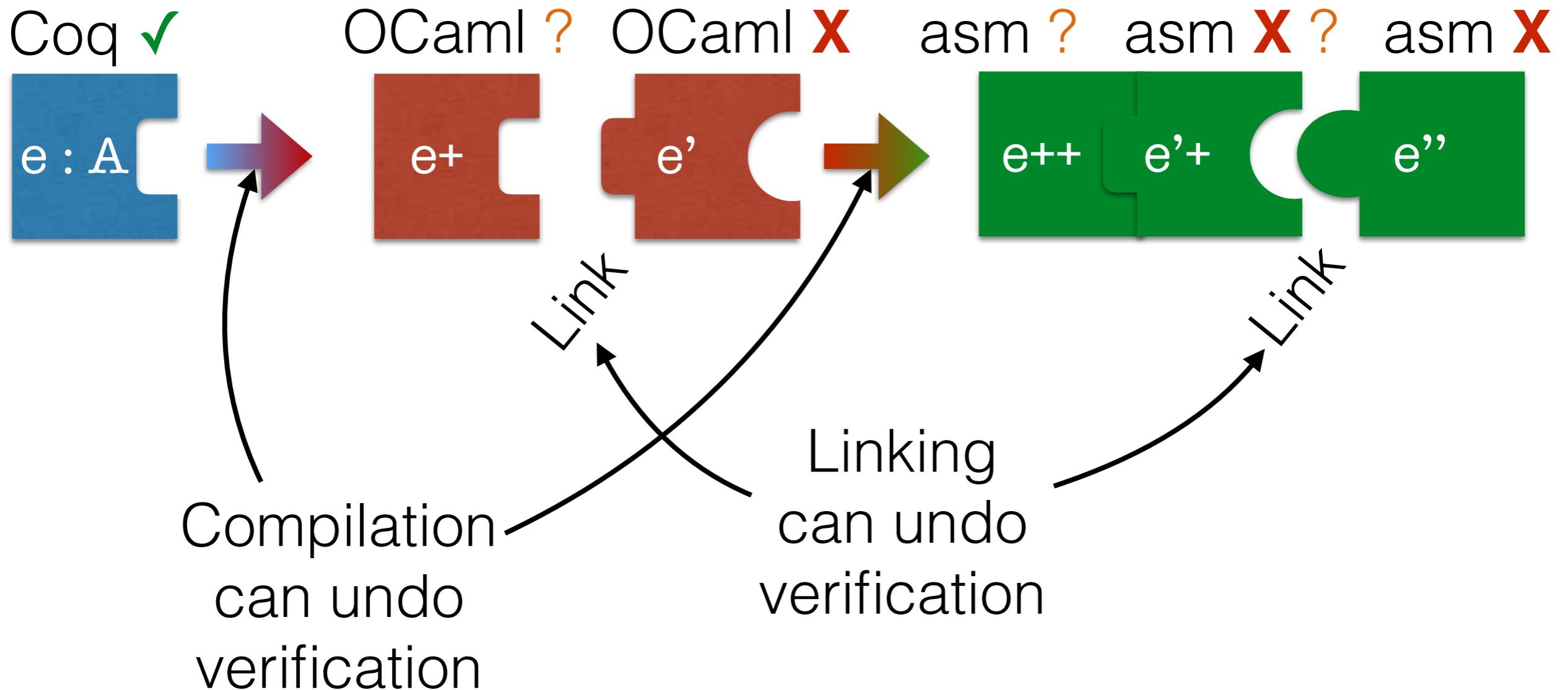
Link

Linking
can undo
verification

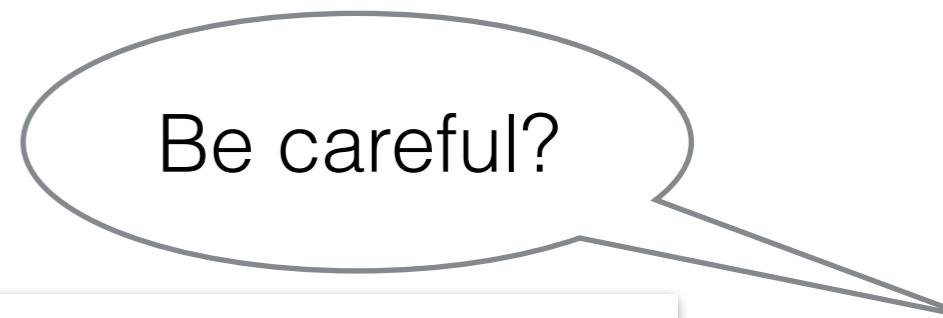
Story of a verified component



Story of a verified component



```
> coqc verified.v  
  
> link verified.ml unverified.ml  
  
> ocaml verified.ml  
[1] 43185 segmentation fault (core dumped)  
ocaml verified.ml
```

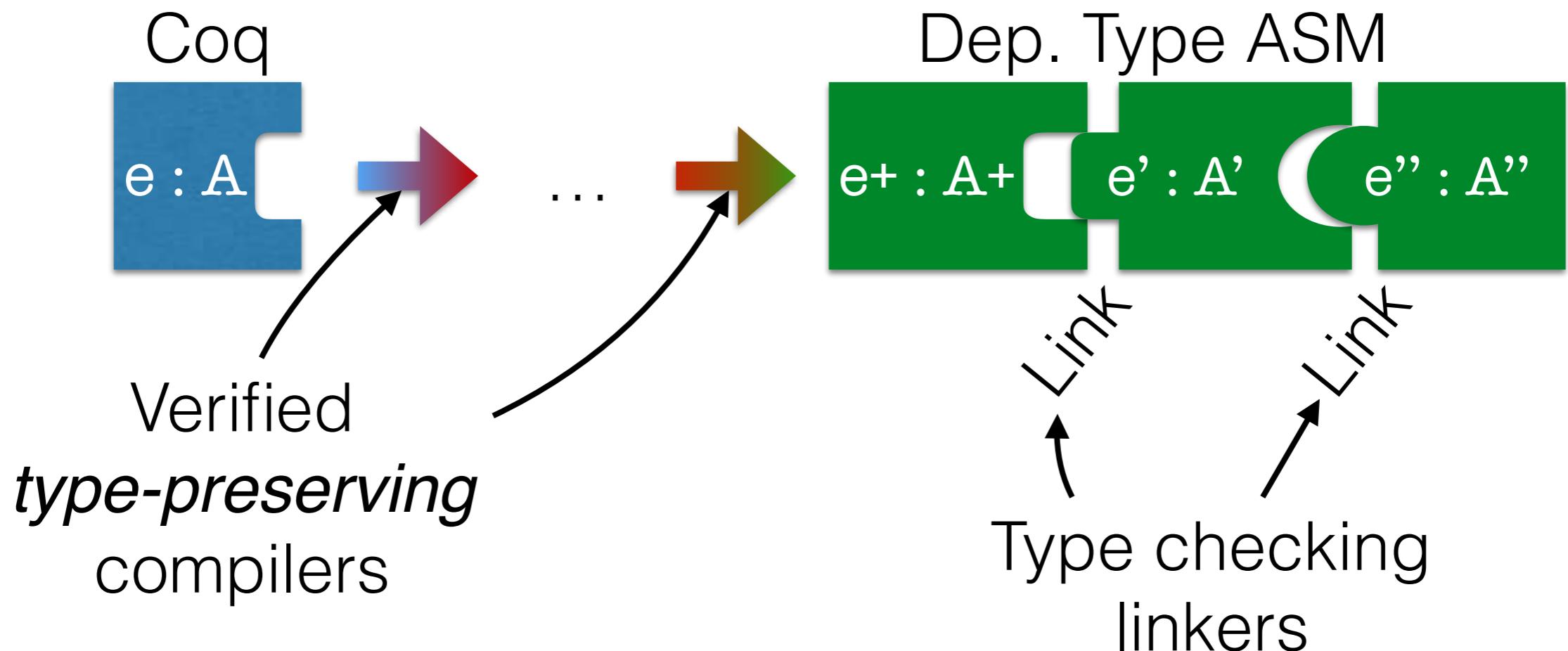


Be careful?

```
> coqc verified.v  
  
> link verified.ml unverified.ml  
  
> ocaml verified.ml  
[1] 43185 segmentation fault (core dumped)  
ocaml verified.ml
```

No!
Be well-typed!

Be careful?



Great, so let's
preserve dependent types

Type Preservation 101

From System F to Typed Assembly Language

GREG MORRISETT and DAVID WALKER

Cornell University

KARL CRARY

Carnegie Mellon University

and

NEAL GLEW

Cornell University

“a sequence of type-preserving transformations,
including CPS ...”

language abstractions, such as closures, tuples, and user-defined abstract data typ system ensures that well-typed programs cannot violate these abstractions. In addi ing constructs admit many low-level compiler optimizations. Our translation to TA as a sequence of type-preserving transformations, including CPS and closure conve

Type Preservation 101

From System F to Typed Assembly Language

CPS Translating Inductive and Coinductive Types

GRE

Corn

KAR

Carn

and

NEA

Cornell University

Gilles Barthe

[Extended Abstract]

Tarmo Uustalu*

“No [CPS] translation *is possible* along the same lines for small Σ -types and sum types with dependent case analysis.”

ing constructs admit many low-level compiler optimizations. Our translation to TA as a sequence of type-preserving transformations, including CPS and closure conve

Type Preservation 101

From System F to Typed Assembly Language

CPS Translating Inductive and Coinductive Types

GRE

Corn

KAR

Carn

and

NEA

Cornell University

Gilles Barthe

[Extended Abstract]

Tarmo Uustalu*

“No [CPS] translation *is possible along the same lines* for small Σ -types and sum types with dependent case analysis.”

ing constructs admit many low-level compiler optimizations. Our translation to TA as a sequence of type-preserving transformations, including CPS and closure conve

not-not is not possible

Why would CPS be hard?

Intuitively, CPS translates every
expression of type A
into a
computation of type $(A \rightarrow \perp) \rightarrow \perp$
i.e. $(\neg\neg A)$

Why would CPS be hard?

Equivalence of expressions

$$e \equiv e' : A$$

IF $\text{eval}(e) \equiv \text{eval}(e') : A$

Why would CPS be hard?

Equivalence of expressions

$$e \equiv e' : A$$

IF $\text{eval}(e) \equiv \text{eval}(e') : A$

Becomes

Equivalence of computations

$$c \equiv c' : \neg\neg A$$

IF $c ? \equiv c' ?' : \perp$

Why would CPS be hard?

Because deciding equivalence of computations is hard.

Equivalence of expressions

$$e \equiv e' : A$$

IF $\text{eval}(e) \equiv \text{eval}(e') : A$

Becomes

Equivalence of computations

$$c \equiv c' : \neg\neg A$$

IF $c ? \equiv c' ?' : \perp$

not-not is not possible

now with MATH!

Goal: *Type-preserving* CPS translation

Theorem. (Type Preservation)

If

$$e : A$$

then



translates to

$$e^+ : A^+$$

Goal: *Type-preserving* CPS translation

Problem: For CBN, Σ *types* (strong dependent pairs)

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e/x]} \quad [\text{SND}]$$

e is pair of an A and a B

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e/x]} \quad [\text{SND}]$$

e is pair of an A and a B

$$\frac{\Gamma \vdash e : \Sigma x:A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e/x]} \quad [\text{SND}]$$

where

B (a type) can refer to

x (a term var. standing for (fst e))

e is pair of an A and a B

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e/x]} \quad [\text{SND}]$$

where

B (a type) can refer to

x (a term var. standing for (fst e))

Goal: *Type-preserving* CPS translation

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e/x]} \quad [\text{SND}]$$



$\mathbf{y} := e^+; \mathbf{snd} \mathbf{y}$

Goal: *Type-preserving* CPS translation

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e / x]} \quad [\text{SND}]$$

Need:

$$y := e^+ ; \text{snd } y : B^+[(\text{fst } e)^+ / x]$$

Goal: *Type-preserving* CPS translation

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e / x]} \quad [\text{SND}]$$

Need:

$$y := e^+ ; \text{snd } y : B^+ [(\text{fst } e)^+ / x]$$

Have:

$$y := e^+ ; \text{snd } y : B^+ [\text{fst } y / x]$$

Goal: *Type-preserving* CPS translation

$$\frac{\Gamma \vdash e : \Sigma x : A. B}{\Gamma \vdash \text{snd } e : B[\text{fst } e / x]} \quad [\text{SND}]$$

Need:

$$y := e^+ ; \text{snd } y : B^+ [(\text{fst } e)^+ / x]$$

Suffices:

Have:

$$y := e^+ ; \text{snd } y : B^+ [\text{fst } y / x]$$

$$\text{fst } y \equiv (\text{fst } e)^+$$

Goal: *Type-preserving CPS* translation

Instead of

$$y := e^+ ; \text{snd } y : B^+[(\text{fst } e)^+ / x]$$

We have

$$e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Goal: *Type-preserving CPS* translation

Instead of

$$y := e^+ ; \text{snd } y : B^+ [(\text{fst } e)^+ / x]$$

We have

$$e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))$$

To type check a *lambda*,
must assume **y** is arbitrary

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Goal: *Type-preserving CPS* translation

Instead of

$$y := e^+ ; \text{snd } y : B^+ [(\text{fst } e)^+ / x]$$

We have

$$e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))$$

To type check a *lambda*,
must assume **y** is *arbitrary*

and type check the body

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\frac{}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))$$

⋮ ⋮ ⋮ ⋮ ⋮ ↗

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\underline{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

and

$$\text{fst value-of}(e^+) \equiv (\text{fst } e)^+$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\frac{}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

and

$$\text{fst value-of}(e^+) \equiv (\text{fst } e)^+$$

Hence

$$\text{fst } y \equiv (\text{fst } e)^+$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\underline{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

and

$$\text{fst value-of}(e^+) \equiv (\text{fst } e)^+$$

1. What is the “value-of” a CPS’d computation?
2. How do we remember that value in a continuation?

not not-not is
not not possible

a different CPS
~~not not not~~ is
~~not not~~ possible

CPS with answer type polymorphism

Double negation CPS

$$c : \neg\neg A$$

Polymorphic CPS

$$c : \forall a. (A \rightarrow a) \rightarrow a$$

CPS with answer type polymorphism

Double negation CPS

$$c : \neg\neg A$$

$$c(\lambda(v) \dots) : \perp$$

Polymorphic CPS

$$c : \forall a. (A \rightarrow a) \rightarrow a$$

$$c B (\lambda(v) \dots) : B$$

CPS with answer type polymorphism

Double negation CPS

$$c : \neg\neg A$$
$$c(\lambda(v)...) : \perp$$

Polymorphic CPS

$$c : \forall a. (A \rightarrow a) \rightarrow a$$
$$c B (\lambda(v)...) : B$$

1. The value of a CPS'd computation

The key is equivalence

Before

$c \equiv c' : \neg\neg A$

IF $c ? \equiv c' ?' : \perp$

The key is equivalence

Before

$c \equiv c' : \neg\neg A$

IF $c ? \equiv c' ?' : \perp$

After

$c \equiv c' : \forall a. (A \rightarrow a) \rightarrow a$

IF $c A\ id \equiv c' A\ id : A$

The key is equivalence

Before

$$c \equiv c' : \neg\neg A$$

IF $c \text{ ?} \equiv c' \text{ ?}' : \perp$

Meaningless garbage

After

$$c \equiv c' : \forall a. (A \rightarrow a) \rightarrow a$$

IF $c \text{ A id} \equiv c' \text{ A id} : A$

Meaningful underlying value of type A

Add to our typed CPS target language

$$\Gamma \vdash (e_1 \ B \ (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) \ (e_1 \ A \ id)$$

Based on
“continuation shuffling”
aka
“parametricity condition”
aka
“naturality”

When computation

e_1

$$\frac{}{\Gamma \vdash (e_1 \text{ B } (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) (e_1 \text{ A id})} [\equiv\text{-CONT}]$$

When computation e_1
is applied to answer type B
and continuation

$$\frac{}{\Gamma \vdash (e_1 \ B \ (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) \ (e_1 \ A \ id)} \ [\equiv\text{-CONT}]$$

When computation e_1
is applied to answer type B
and continuation

$$\frac{}{\Gamma \vdash (e_1 \ B \ (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) \ (e_1 \ A \ id)} \ [\equiv\text{-CONT}]$$

“Shuffle” this into
continuation, as a function

When computation e_1
is applied to answer type B
and continuation

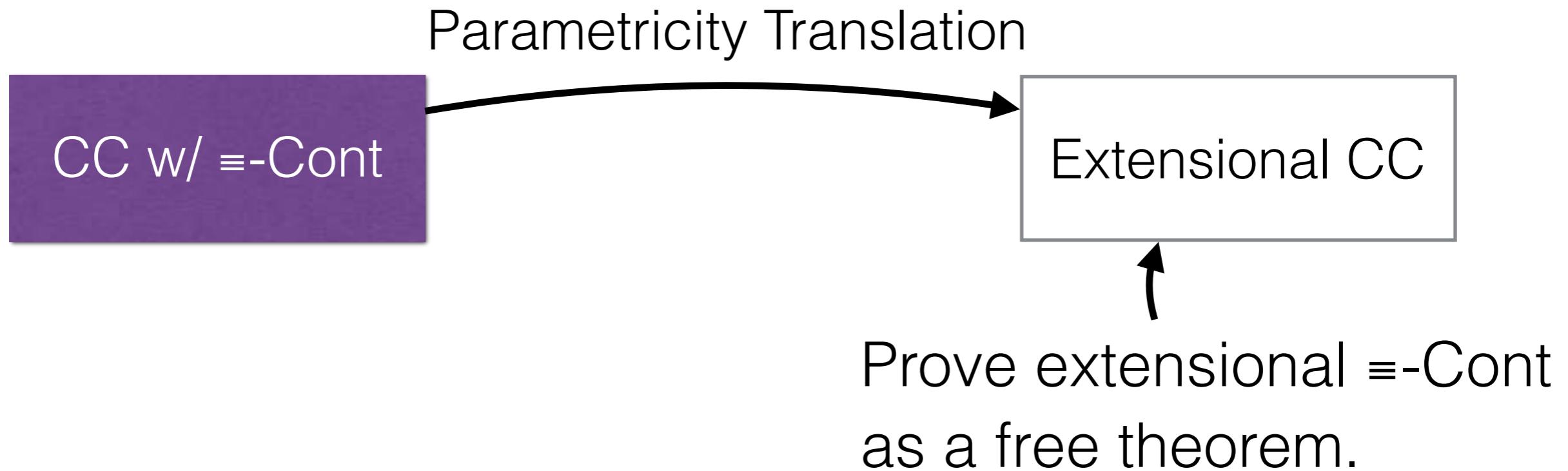
$$\frac{}{\Gamma \vdash (e_1 \ B \ (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) \ (e_1 \ A \ id)} \ [\equiv\text{-CONT}]$$

“Shuffle” this into
continuation, as a function,
applied to
value of e_1

$$\frac{}{\Gamma \vdash (e_1 \text{ B } (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) (e_1 \text{ A id})} [\equiv\text{-CONT}]$$

$$\text{value-of}(e_1) \stackrel{\text{def}}{=} e_1 \text{ A id}$$

Modeling \equiv -Cont



Toward Type Preservation

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\frac{}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv e^+ \text{id}$$

and

$$\text{fst } (e^+ \text{id}) \equiv (\text{fst } e)^+$$

Toward Type Preservation

Need:

$y : \Sigma$

$$(\text{fst } e)^+ = e^+ (\lambda y. \text{fst } y)$$

By \equiv -Cont

$$\begin{aligned} &\equiv (\lambda y. \text{fst } y) (e^+ \text{id}) \\ &\equiv \text{fst} (e^+ \text{id}) \end{aligned}$$

\vdots
 $\text{fst } e)^+$

Intuitively,

$$y \equiv e^+ \text{id}$$

$$\text{fst} (e^+ \text{id}) \equiv (\text{fst } e)^+$$

1. The value of a CPS'd computation

$$\frac{}{\Gamma \vdash (e_1 \text{ B } (\lambda x : A. e_2)) \equiv (\lambda x : A. e_2) \text{ (} e_1 \text{ A id) }} \text{ [}\equiv\text{-CONT]}$$

$$\text{value-of}(e_1) \stackrel{\text{def}}{=} e_1 \text{ A id}$$

2. Remember value when
jumping to a continuation

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\frac{}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\frac{}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

Need:

$$y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]$$

$$\cancel{e^+ (\lambda y \cdot (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Intuitively,

$$y \equiv \text{value-of}(e^+)$$

But formally, y is arbitrary

What if we make this equivalence

$$y \equiv \text{value-of}(e^+)$$

$$\frac{y : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

part of this typing rule

$$\frac{y = e^+ \text{id} : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ @ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$



“Jump-to” form

Jumping to a continuation is not just application.

$$\frac{\Gamma \vdash e : \Pi \alpha : *. (A \rightarrow \alpha) \rightarrow \alpha \quad \Gamma \vdash B : * \quad \Gamma, x = e A \text{ id} \vdash e' : B}{\Gamma \vdash e @ B (\lambda x : A. e') : B} \text{ [T-CONT]}$$

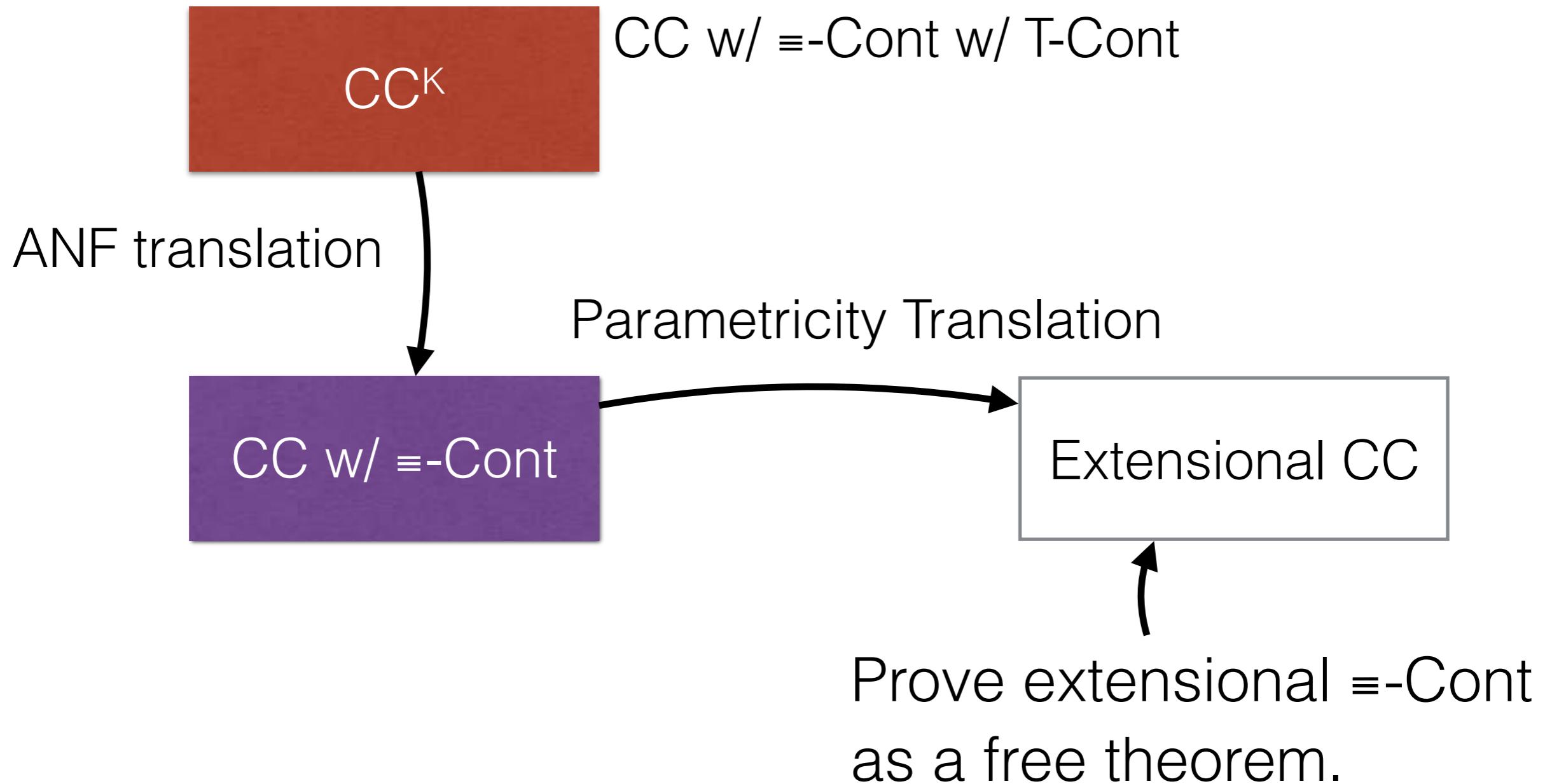
Jumping to a continuation is not just application.

$$\frac{\Gamma \vdash e : \Pi \alpha : *. (A \rightarrow \alpha) \rightarrow \alpha \quad \Gamma \vdash B : * \quad \Gamma, x = e A \text{ id} \vdash e' : B}{\Gamma \vdash e @ B (\lambda x : A. e') : B} \text{ [T-CONT]}$$

Jumping to a continuation is not just application.

$$\frac{\Gamma \vdash e : \Pi \alpha : *. (A \rightarrow \alpha) \rightarrow \alpha \quad \Gamma \vdash B : * \quad \Gamma, x = e A \text{ id} \vdash e' : B}{\Gamma \vdash e @ B (\lambda x : A. e') : B} \text{ [T-CONT]}$$

Modeling T-Cont



2. Remember value when jumping to a continuation

$$\frac{\Gamma \vdash e : \Pi \alpha : *. (A \rightarrow \alpha) \rightarrow \alpha \quad \Gamma \vdash B : * \quad \Gamma, x = e A \text{ id} \vdash e' : B}{\Gamma \vdash e @ B (\lambda x : A. e') : B} \text{ [T-CONT]}$$

Type Preservation

Need:

$$\frac{y = e^+ \text{id} : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ @ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

Type Preservation

Need:

$$\frac{y = e^+ \text{id} : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ @ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

By T-Cont,

$$y \equiv e^+ \text{id}$$

Type Preservation

Need:

$$\frac{y = e^+ \text{id} : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ @ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

By T-Cont,

$$y \equiv e^+ \text{id}$$

By earlier (uses \equiv -Cont)

$$\text{fst } (e^+ \text{id}) \equiv (\text{fst } e)^+$$

Type Preservation

Need:

$$\frac{y = e^+ \text{id} : \Sigma x : A^+. B^+ \vdash (\text{snd } y) : B^+[(\text{fst } e)^+ / x]}{e^+ @ (\lambda y : (\Sigma x : A^+. B^+). (\text{snd } y))}$$

Suffices:

$$\text{fst } y \equiv (\text{fst } e)^+$$

By T-Cont,

$$y \equiv e^+ \text{id}$$

Hence

By earlier (uses \equiv -Cont)

$$\text{fst } (e^+ \text{id}) \equiv (\text{fst } e)^+$$

$$\text{fst } y \equiv (\text{fst } e)^+$$

Call-by-value

CBV CPS fails, even for Π

$$\frac{\Gamma \vdash e_1 : \Pi x : A. B \quad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 e_2 : B[e_2/x]} \text{ [APP]}$$


CBV CPS fails, even for Π

$$\frac{\Gamma \vdash e_1 : \Pi x : A. B \quad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 e_2 : B[e_2/x]} \text{ [APP]}$$

Need:

$$y_1 := e_1^+; y_2 := e_2^+; y_1 y_2 : B^+[e_2^+/x]$$

Have:

$$y_1 := e_1^+; y_2 := e_2^+; y_1 y_2 : B^+[y_2/x]$$



But Π is fine is CBN

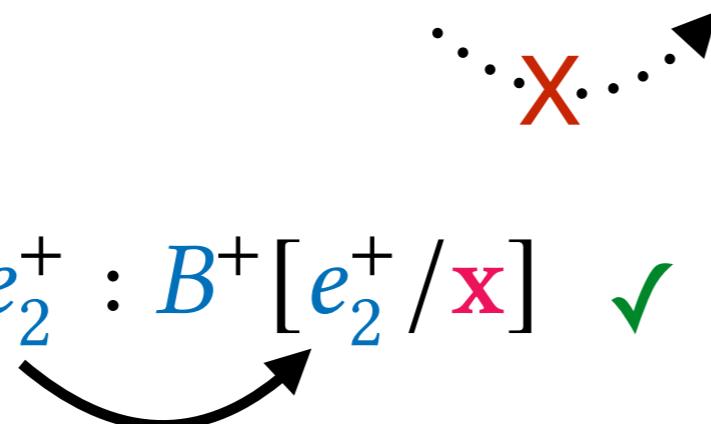
$$\frac{\Gamma \vdash e_1 : \prod x : A. B \quad \Gamma \vdash e_2 : A}{\Gamma \vdash e_1 e_2 : B[e_2/x]} \text{ [APP]}$$

CBV:

$$y_1 := e_1^+; y_2 := e_2^+; y_1 y_2 : B^+[e_2^+/\mathbf{x}]$$

CBN:

$$y_1 := e_1^+; y_1 e_2^+ : B^+[e_2^+/\mathbf{x}] \quad \checkmark$$



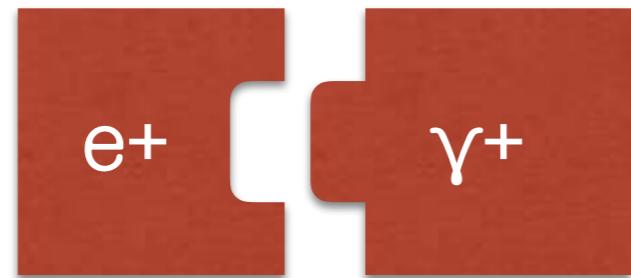
Compiler Correctness, Too

Theorem. (Correctness of Separate Compilation)

If



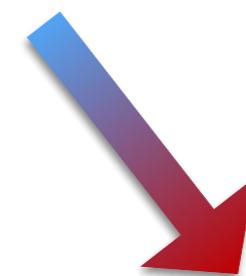
then



v'

\equiv

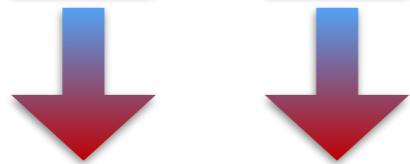
v^+



Lemma. (Equivalence Preservation)

If

$$e = e'$$



then

$$e^+ = e'^+$$

Lemma. (Preservation of Reduction Sequences)

If

$$e \xrightarrow{} e'$$

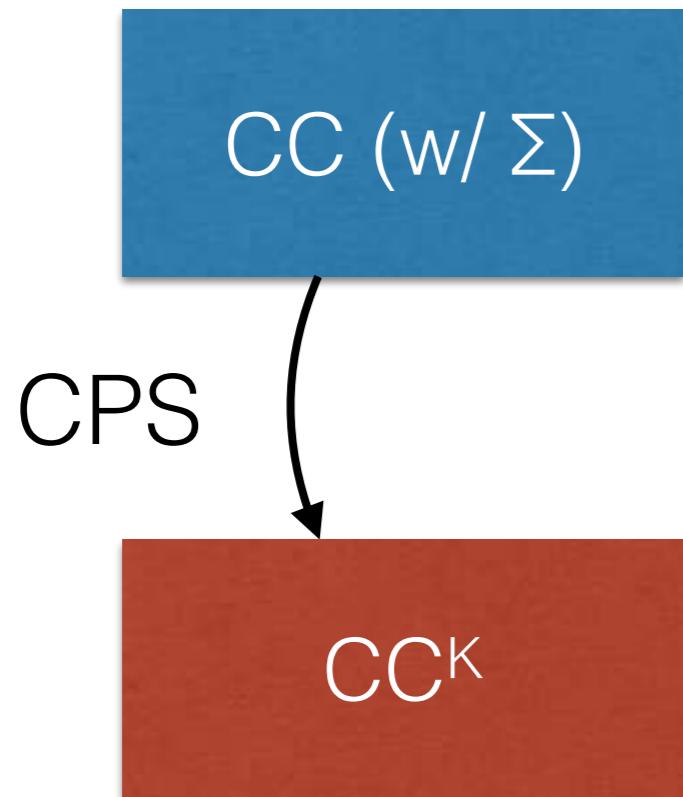


then

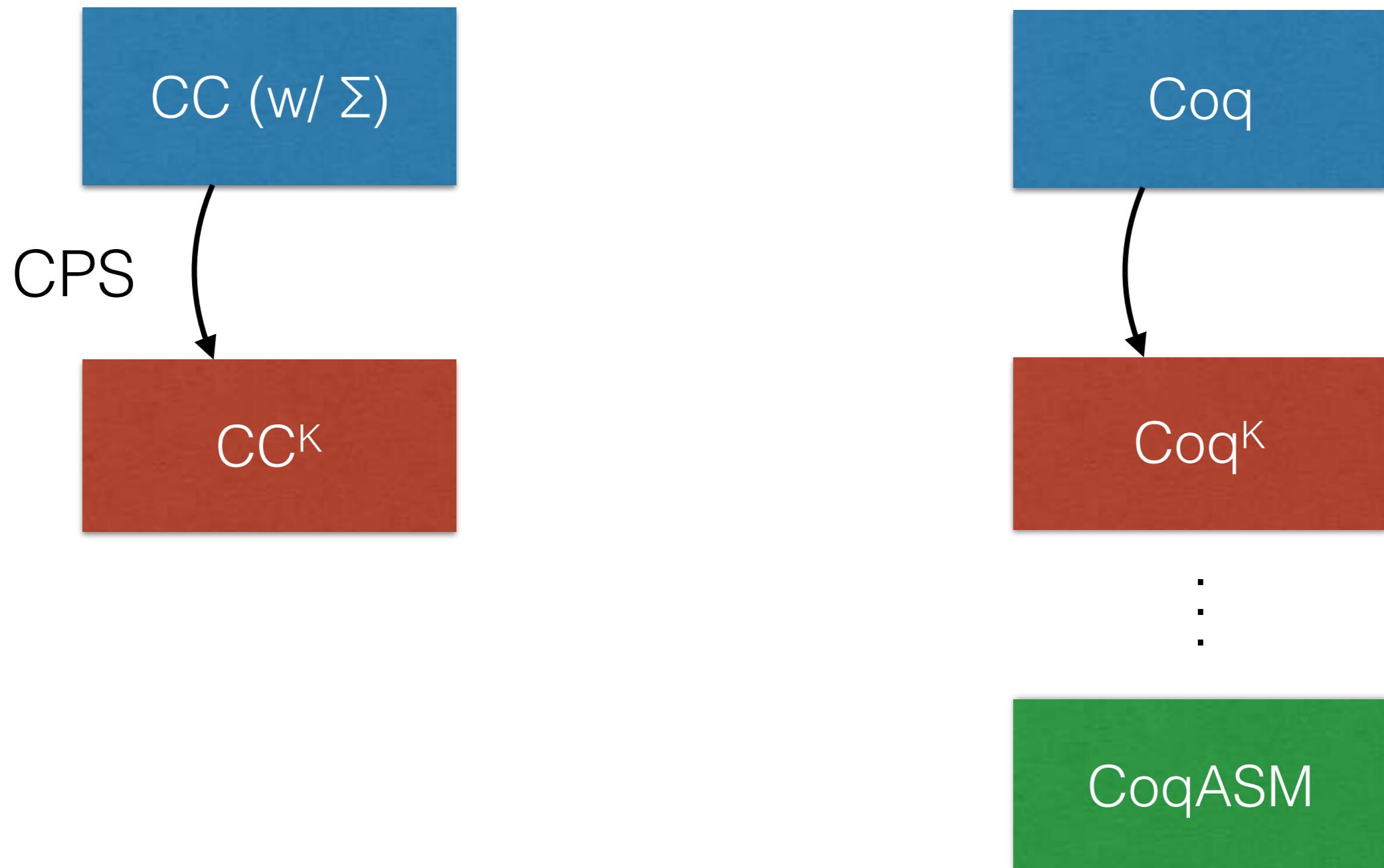
$$e^+ \xrightarrow{} e'' = e'^+$$

Scaling to Coq

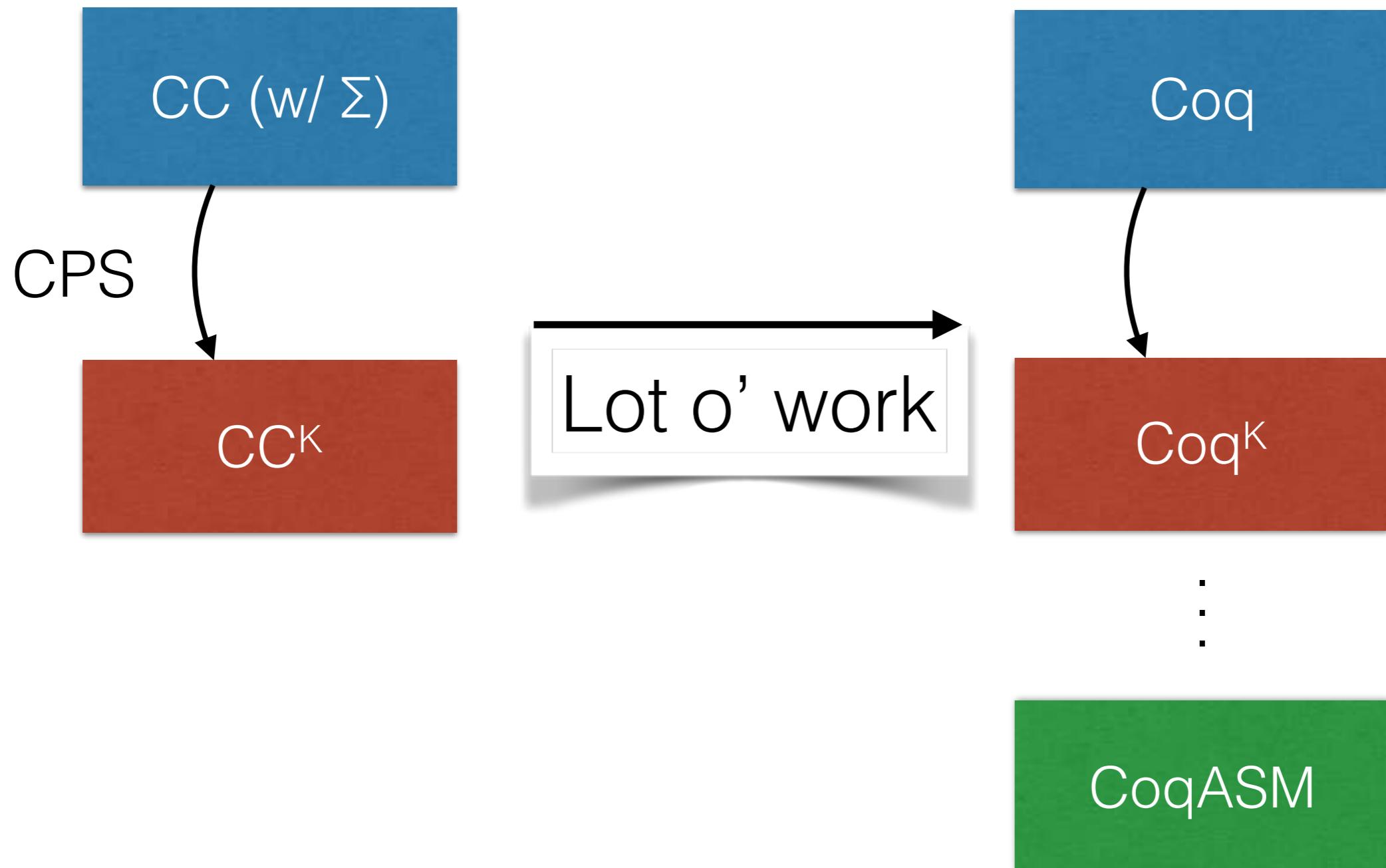
Our compiler so far



Future Work



Future Work



Dependent Case

In 2002, Barthe and Uustalu also prove:

- *No type-preserving CPS translation can exist* with dependent case analysis on sum types.

Dependent Case

In 2002, Barthe and Uustalu also prove:

- *No type-preserving CPS translation* can exist with dependent case analysis on sum types.*

* that admits call/cc in certain contexts.

Dependent Case

In 2002, Barthe and Uustalu also prove:

- *No type-preserving CPS translation* can exist* with dependent case analysis on sum types.

Answer type polymorphism disallows call/cc,
in *any* compiled code.

* that admits call/cc in certain contexts.

Dependent Case

In 2002, Barthe and Uustalu also prove:

- *No type-preserving CPS translation* can exist* with dependent case analysis on sum types.

Answer type polymorphism disallows call/cc,
in *any* compiled code.

We sketch extending our translation to dependent case

* that admits call/cc in certain contexts.

Type-Preserving CPS Translation of Σ and Π Types is ~~Not~~ Not Possible

williamjbowman.com/#cps-sigma

1. The “value-of” a CPS’d computation and
2. “remember” that value when jumping to a continuation.

